

Galois Group of Circles of Apollonius

3264 and All That §2.3

What is a circle?

— affine equation $(x-a)^2 + (y-b)^2 = r^2$

— homogeneous eq. $(x-az)^2 + (y-bz)^2 = r^2 z^2$

$\Leftrightarrow$ passes through *circular points*

$$o_+ = (1 : i : 0) , \quad o_- = (1 : -i : 0) \in \mathbb{P}^2.$$

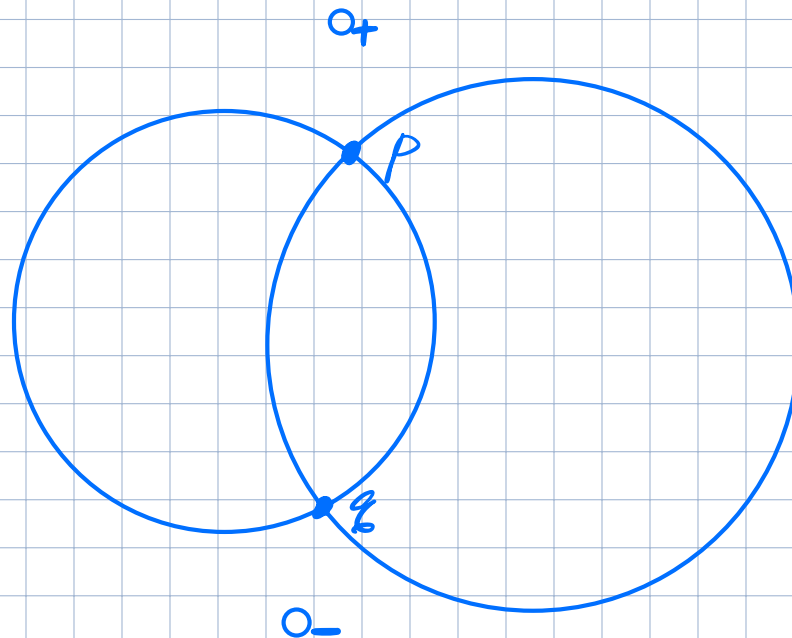
$\Leftrightarrow C = \mathbb{Z}[f] , f \in \text{ideal } (\bar{z}, x^2 + y^2)$
and $\deg f = 2$.

Parameter space of circles

Passing through o_+ , o_- $\rightarrow$ 2 linear conditions
on $|H^0(\mathcal{O}_{\mathbb{P}^2}(2))| = \mathbb{P}^5$

So parameter space of circles $\mathcal{C} \cong \mathbb{P}^3 \subseteq \mathbb{P}^5$.

Any 2 circles meet at o_+ , o_- , and 2 other points.
 P, Q .



Circles tangent to a given circle

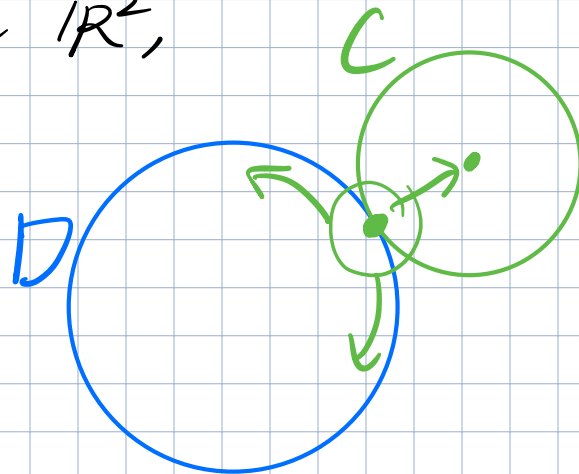
Def Two circles C and D are tangent if $p=q$.

Def Fix a circle $D \in \mathcal{C}$.

Let $Z_D := \{ C \in \mathcal{C} \text{ circle} \mid C \text{ tangent to } D \}$

Propⁿ $Z_D \subseteq \mathcal{C} \cong \mathbb{P}^3$ is a quadric hypersurface.

Heuristic argument: in $\mathbb{R}^2$,



2 degrees
of freedom.

Circles tangent to a given circle

Pf Consider the incidence correspondence

$$\Phi := \{ (p, C) \in D \times \mathcal{C} \mid C \text{ tangent to } D \text{ at } p \}$$

$$\begin{array}{c} \pi_1 \swarrow \\ \mathbb{P}^1 \end{array}$$

$$\begin{array}{c} \pi_2 \searrow \\ \mathbb{P}^3 \end{array}$$

$$\pi_1^{-1}(p) = \{ C \mid \underbrace{m_p(C \cdot D)}_{\substack{\text{2 linear conics} \\ \text{in the case } p = o_{\pm}, m_p \geq 2}} \geq 2 \} \cong \mathbb{P}^1$$

$\Rightarrow \Phi$ is a $\mathbb{P}^1$ -bundle over $\mathbb{P}^1 \Rightarrow$ irreducible.

Tommaso & Sidhanth: Topologically, this is $B\mathbb{P}^1 \rightsquigarrow \mathbb{CP}^2 \# \overline{\mathbb{CP}^2}$.

$\pi_2: \Phi \rightarrow \mathbb{P}^3$ birational $\Rightarrow \mathcal{Z}_D = \pi_2(\Phi)$ is 2-dimensional

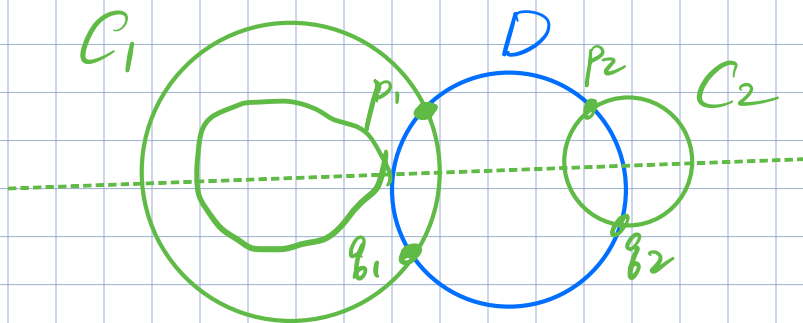
Circles tangent to a given circle

Pf Calculate $\deg Z_D$.

$$\deg Z_D = \# Z_D \cap \text{general line in } \mathbb{C} \cong \mathbb{P}^3$$

$=$ # circles in a general pencil tang to D .

Take general pencil $\overline{C_1 C_2}$ where $C_1 = Z[f]$, $C_2 = Z[g]$.



$\rightarrow$ rational function $(f/g)|_D$ has 2 zeros $\{p_1, q_1\}$ and 2 poles $\{p_2, q_2\}$

$\Rightarrow$ Double cover $D \xrightarrow{\deg 2} \mathbb{P}^1$ branched along 2 points (R-H)
 $= \deg Z_D$.



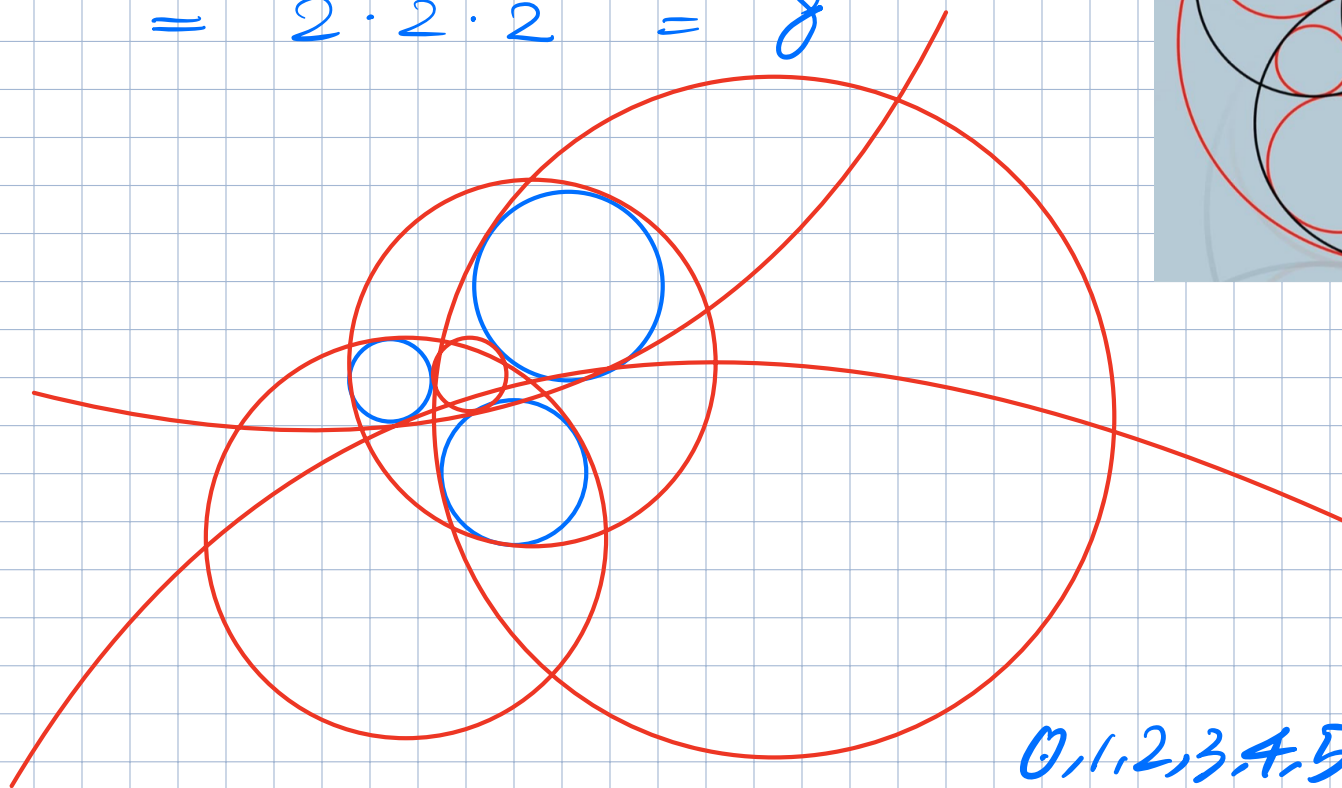
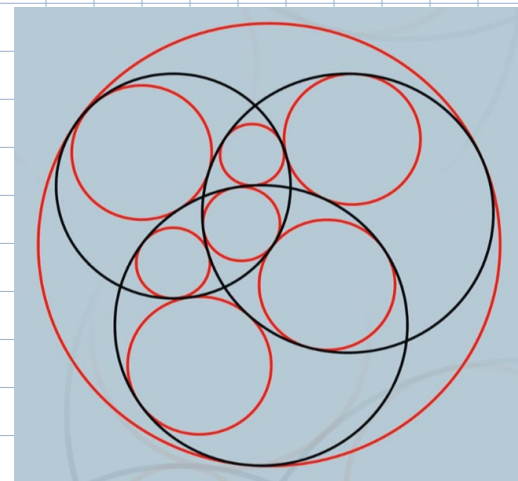
Circles of Apollonius

(By the argument from Steiner's Conics) $\mathcal{Z}_D, \mathcal{Z}_{D'}$ transverse
for general $D, D' \in \mathcal{C}$.

→ # circles tangent to 3 given ones

$$= \# \mathcal{Z}_{D_1} \cap \mathcal{Z}_{D_2} \cap \mathcal{Z}_{D_3}$$

$$= 2 \cdot 2 \cdot 2 = 8$$



0, 1, 2, 3, 4, 5, 6, 8

Monodromy of Apollonian Circles

$\{\text{Triples of circles, 8 tangent circles}\}$

↓ 8:1

$\{\text{Triples of circles}\}$

$\text{Hilb}^r X$ hard

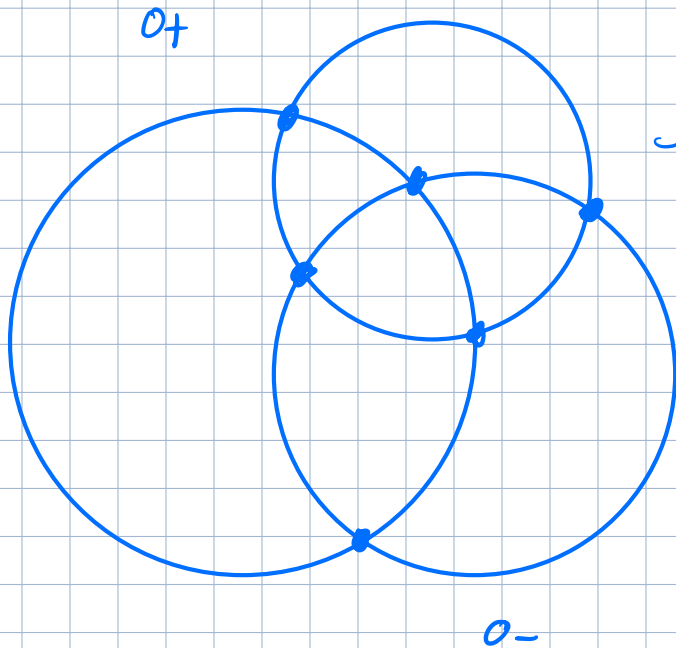
when $r \geq 3$

$\dim X \geq 3$.

Problem ... This seems hard

Another approach to the circles of Apollonius, via the notion of *theta-characteristics*, is given in Harris [1982]. There is also an analogous notion of a *sphere* in $\mathbb{P}^3$; see for example Exercise 13.32.

A Singular sextic



→ singular degree 6 plane curve

— triple nodes at $o_{\pm}$

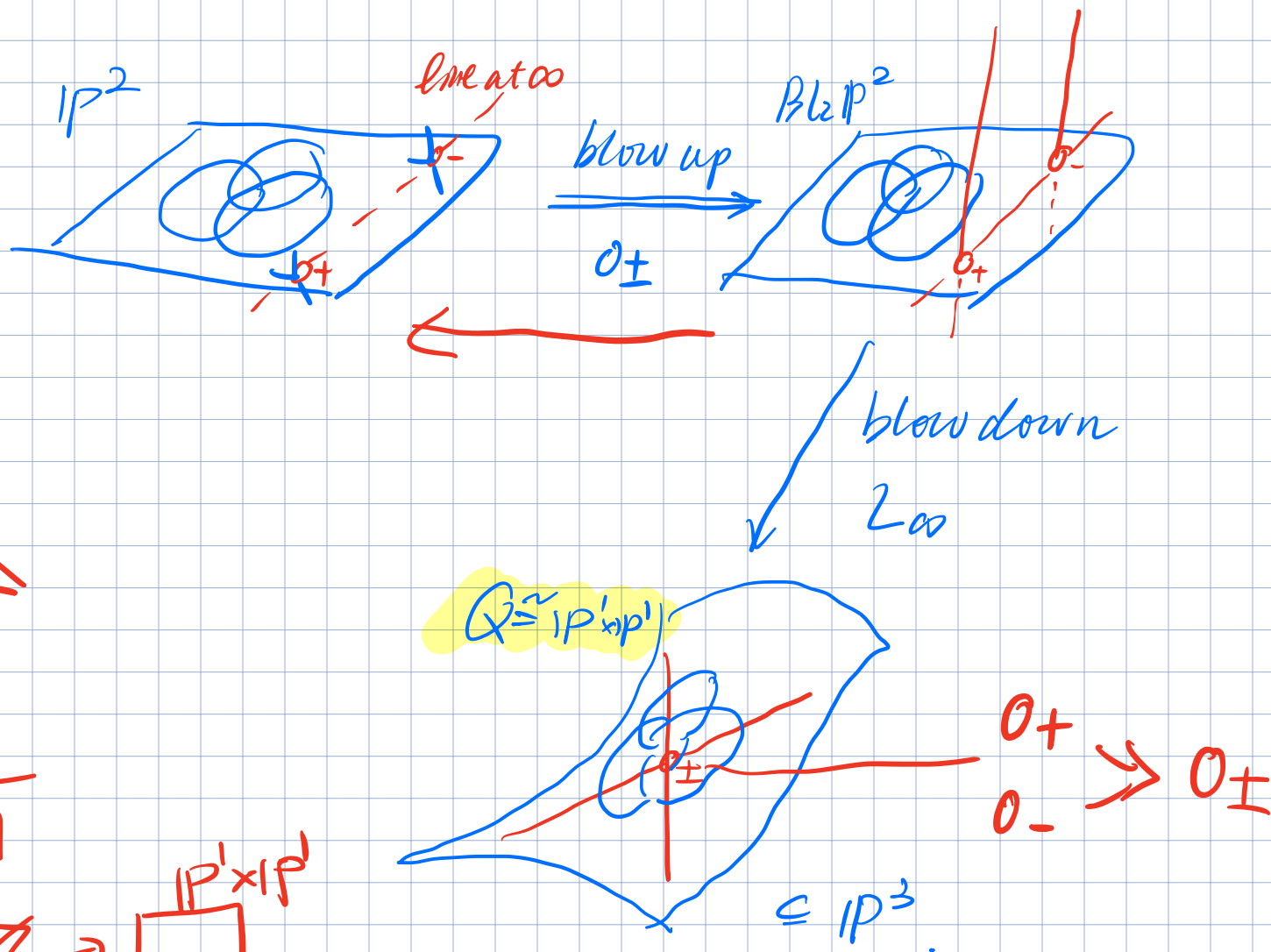
— ordinary nodes at 6 points.

blow up at $o_{\pm}$

C : 6-nodal curve of genus $\frac{(6-1)(6-2)}{2} - 6 = 4$.

The canonical sextic in $\mathbb{P}^3$

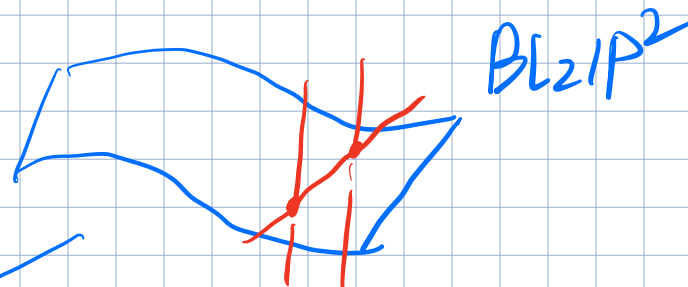
In fact : C is a canonical genus 4 curve in $\mathbb{P}^3$.



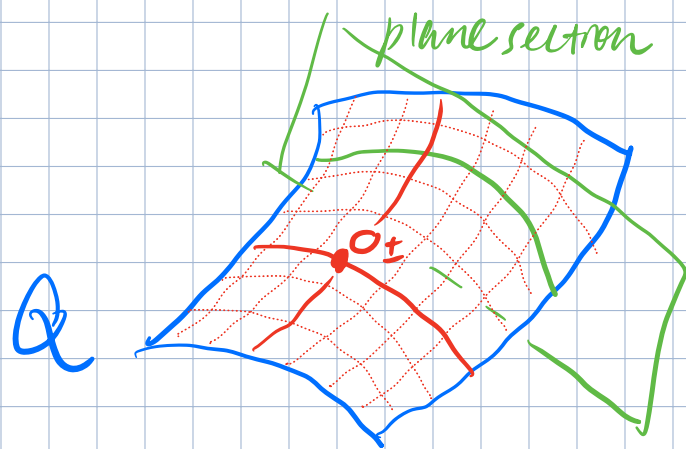
The canonical sextic in $\mathbb{P}^3$

Claim The hyperplane sections of Q are transforms of circles

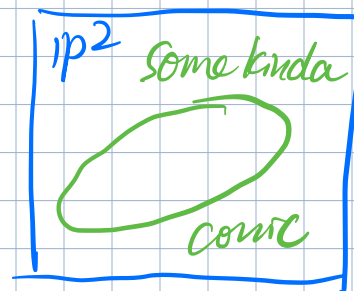
look familiar? ☺



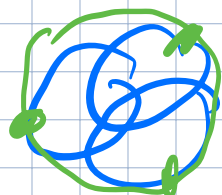
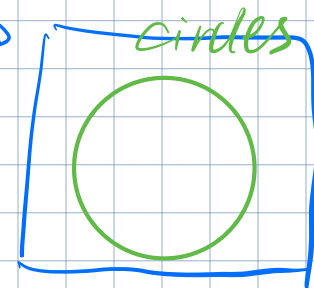
blow up indeterminacy locus



projection
from $O_{\pm}$



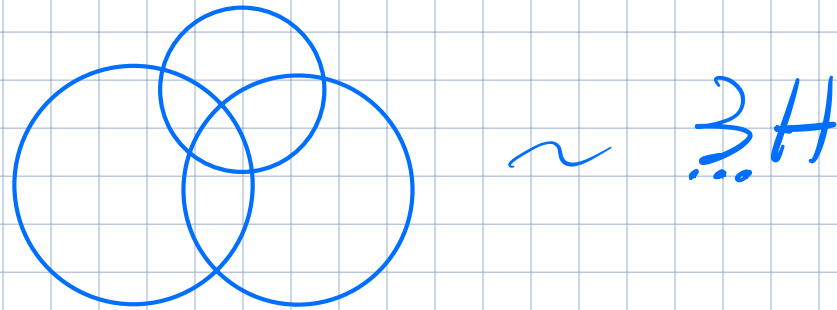
forced
to be



$\Leftrightarrow$ odd number $\Leftrightarrow$ bitangents.

The canonical sextic in $\mathbb{P}^3$

Now, what's C ?



$\sim 3H$

$\Rightarrow C$ is the complete intersection of $Q \cong \mathbb{P}^1 \times \mathbb{P}^1$
with a completely reducible cubic

which is a ...canonical genus 4!

Canonical $\rightsquigarrow K_C$ is the hyperplane!

Circles of Apollonius = Θ -Characteristics

Recall: A Θ -characteristic on C is a line bundle $\mathcal{L}$

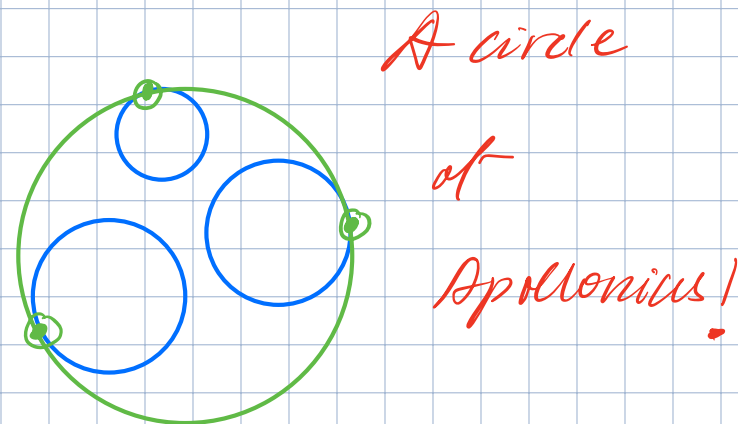
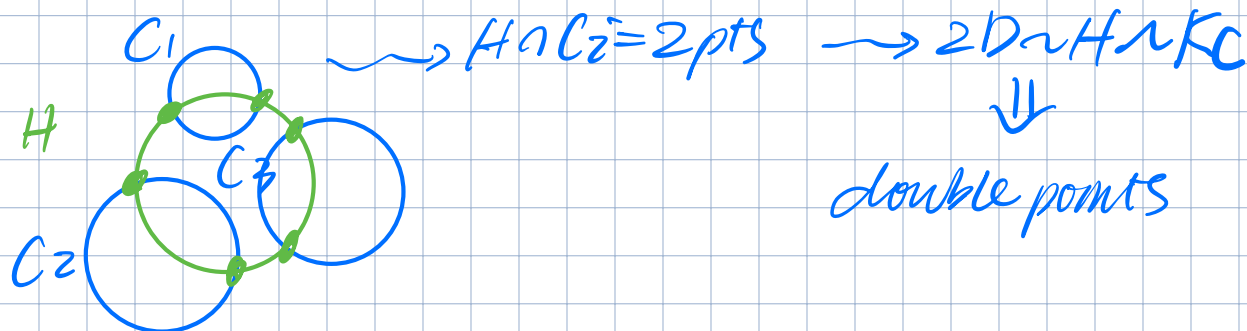
s.t. $\mathcal{L}^{\otimes 2} \cong \omega_C$

need to make sense of all of this!

Problem: C is singular!

A semicanonical divisor on C is D s.t. $2D \sim K_C$

Consider: effective semicanonical divisors on C



Circles of Apollonius = $\mathcal{O}$ -Characteristics

Assuming for C :

Odd $\mathcal{O}$ -characteristics $\Leftrightarrow$ effective seminormal divisors

Have:

$$\begin{aligned} \textcircled{1} \quad \# \text{ circles of Apollonius} &= \# \text{ odd } \mathcal{O}\text{-characteristics} \\ &\stackrel{?}{=} 8. \end{aligned}$$

$$\textcircled{2} \quad \begin{array}{l} \text{Monodromy of} \\ \text{Apollonian circles} \end{array} \cong \begin{array}{l} \text{Symmetry group} \\ \text{of } S^- \end{array}$$

[Har '82]

Theta-Characteristics for Singular Curves!

Recall

C = smooth proper curve.

Theta-characteristic $S(C) := \{L \mid L^{\otimes 2} \cong \omega_C\}$ $\begin{cases} \text{odd } s^- \\ \text{even } s^+ \end{cases}$

2-torsion

$$J_2(C) := \text{Pic}^0(C)[2] \cong (\mathbb{Z}/2)^{2g}.$$

Theta form

$\mathbb{F}_2$ -quadratic form $q_L: J_2(C) \rightarrow \mathbb{F}_2$.

$$q_L(\omega) := h^0(L \otimes \omega) - h^0(L) \pmod{2}$$

Weil pairing

$$J_2(C) \cong H_1(C, \mathbb{Z}/2) / H_1(C, \mathbb{Z}) \cong H_1(C, \mathbb{Z}/2).$$

Intersection pairing mod 2 $\Rightarrow \lambda: J_2 \times J_2 \rightarrow \mathbb{F}_2$.

Riemann-Mumford
relation

$$\lambda(\omega, \eta) = q_L(\omega + \eta) + q_L(\omega) + q_L(\eta)$$

Gorenstein Curves

Q: What's the minimal setting for theta-characteristics?

Want: locally free invertible sheaf $\mathcal{L}$

s.t. $\mathcal{L}^{\otimes 2} \cong \omega_C$

what's this guy?

$\dim \operatorname{Hom}_R(k, R) = 1$

$\operatorname{injdim}_R R < \infty$

Def A scheme is Gorenstein if all local rings are Gorenstein rings.

Thm The dualizing sheaf ω_X is locally free if X is Gorenstein.

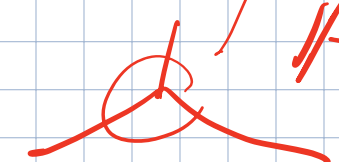
curves on smooth surface, etc.

Slogan: local complete intersections

Ex/Counterexample?



$\mathbb{A}^3$



The Jacobian of a singular curve

Let C be reduced & Gorenstein.

Let $\tilde{C} \xrightarrow{\pi} C$ be the normalization.

$$0 \rightarrow \mathcal{O}_C^\times \rightarrow \pi_* \mathcal{O}_{\tilde{C}}^\times \rightarrow \mathcal{F} \rightarrow 0$$

$\uparrow$
 $\oplus$ skyscraper sheaf at singular pts.

LES
 $\Rightarrow$

$$0 \rightarrow H^0(\mathcal{O}_C^\times) \xrightarrow{k^\times} H^0(\pi_* \mathcal{O}_{\tilde{C}}^\times) \rightarrow H^0(\mathcal{F}) \xrightarrow{\text{something}} 0$$

$$\rightarrow H^1(\mathcal{O}_C^\times) \xrightarrow{k^\times} H^1(\pi_* \mathcal{O}_{\tilde{C}}^\times) \rightarrow H^1(\mathcal{F}) \rightarrow \dots \rightarrow 0$$

$\uparrow$ $\text{Pic}(C)$ $\uparrow$ $H^1(\tilde{C}, \mathcal{O}_{\tilde{C}}^\times) \cong \text{Pic}(\tilde{C})$

The Jacobian of a singular curve

let $\Gamma := H^0(\mathcal{F}) / \text{Im}(H^0(\pi_* \mathcal{O}_{\tilde{C}}))$

Then from LES,

$$0 \rightarrow \Gamma \rightarrow J(C) \xrightarrow{\pi^*} J(\tilde{C}) \rightarrow 0$$

singular
normalization

so $\Gamma = \{ [L] \mid \pi^* L \text{ is trivial on } \tilde{C} \}$

2-torsion $\searrow$

$$0 \rightarrow \Gamma_2 \rightarrow J_2(C) \xrightarrow{\pi^*} J_2(\tilde{C})$$

structure??
want
0-char ✓

want
know

The Jacobian of a singular curve

(2.2) Γ_2 is the nullspace of the bilinear form λ .

Pf It can be shown from the definition that

$$\lambda(w, \eta) = \lambda(\pi^* w, \pi^* \eta)$$

from which we see that $\pi^* w = \pi^* \eta = 0$. □

(2.3) The restriction $g_L|_{\Gamma_2}$ is a linear functional

(2.4) $\uparrow$
 ℓ is independent of choice of L .

Def The *linear part of the theta form* is $\ell := g_L|_{\Gamma_2} : \Gamma_2 \rightarrow \mathbb{C}$.

Counting θ -characteristics on Singular curves

$\tilde{g} :=$ sum of genera of components of $\tilde{C}$.

$k := \text{rank}_{\mathbb{F}_2} \Gamma_2$

(2.7) If the linear part $l \neq 0$, then

$$\#S^+ = \#S^- = \frac{1}{2} \#S = 2^{\tilde{g}+k-1}$$

(2.8) If $l = 0$, then

$$\text{either } \#S^+ = 2^{\tilde{g}+k-1} (2^{\tilde{g}} + 1) \quad \#S^- = 2^{\tilde{g}+k-1} (2^{\tilde{g}} - 1)$$

or the other way around.

Counting θ -characteristics on singular curves

Proof of 2.7

Key: By definition of the *linear part*,

for any θ -characteristic L and $w \in \Gamma_2$, we have

$$h^0(L \otimes w) \equiv l(w) + h^0(L) \pmod{2}.$$

Consider fibers of $J_2(C) \xrightarrow{\pi^*} J_2(\tilde{C})$:

$$(\pi^*)^{-1}(L) = \{L' \in J_2(C) \mid \pi^* L' = L\}$$

$$= \text{coset } L_0 + \Gamma_2$$

$\Rightarrow$ half even, half odd.

$$\Rightarrow \#S^+ = \#S^- = \frac{1}{2} \#S = 2^{2\tilde{g} + k - 1}$$

□

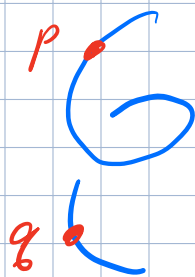
The structure of the group Γ_2

Q = What is k ??

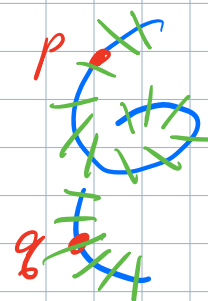
$\Gamma_2 = \{ \text{2-torsion line bdl on } C \text{ that become trivial on } \tilde{C} \}$.

What's such a line bundle?

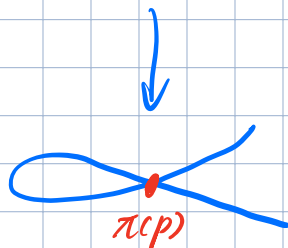
Ex 1 Twisted cubic $\tilde{C}$



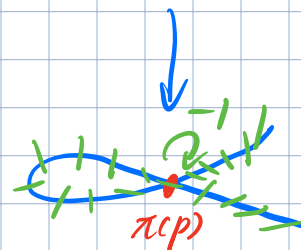
Trivial
line
bdl
 $\mathcal{O}_{\tilde{C}}$



nodal cubic C



glue
via
(-1).



The structure of Γ_2

Claim All elements of Γ_2 arise this way!

Def For the normalization $\tilde{C} \rightarrow C$, let $p_1, \dots, p_n$ be the preimages of a node p .

Define L_{p_i} to be the line bundle obtained from gluing $\mathcal{O}_{\tilde{C}}$ with a (-1) -twist between p_i and other p_j .

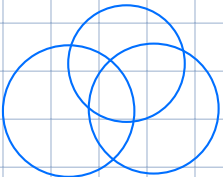
Then:

thm Γ_2 has generators $e_{p_i} := [L_{p_i}]$ for all singular points p

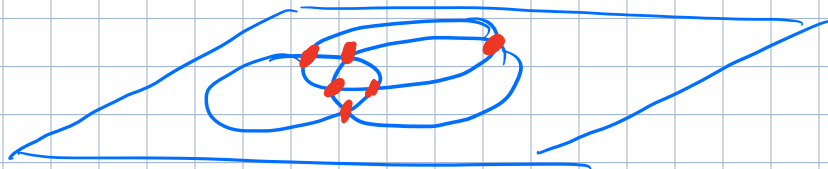
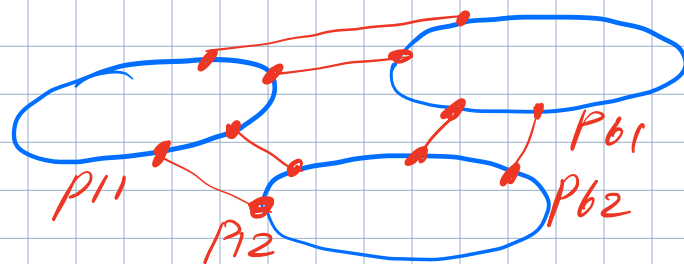
and relations - at p : $\sum e_{p_i} = 0$

- at irred. component $\tilde{C}_j$: $\sum_{q \in \tilde{C}_j} e_q = 0$

Circles of Apollonius = Θ -Characteristics

Recall that the sextic $C =$  has 6 nodes,

and $\tilde{C} =$ disjoint union of 3 rational curves



$\leadsto$ 12 generators $\leadsto$ For all i , $p_{i1} + p_{i2} = 0$

$\leadsto$ The 4 pts on each branch sum up to 0 4 independent generators

Circles of Apollonius = θ -Characteristics

$$\begin{aligned}\text{So:} \quad \# \text{ Apollonian circles} \\ &= \# \text{ odd } \theta\text{-characteristics} \\ &= 2^{4+0-1} \\ &= 8.\end{aligned}$$

Analyzing the action of Γ on S^-

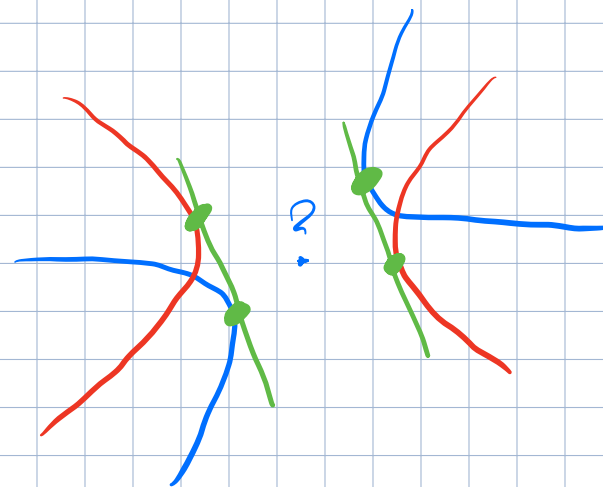
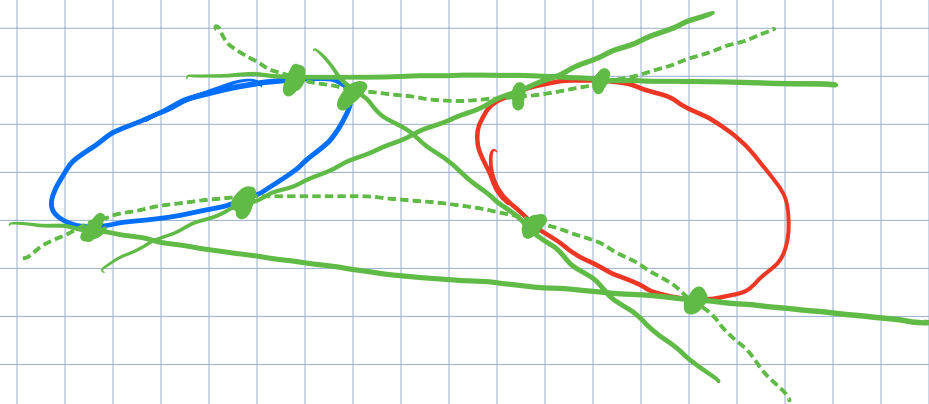
GAP 32, 49

$$\begin{aligned}\leadsto \text{Monodromy} &\cong \text{inner holomorph of } D_8 \\ &\cong D_8 \rtimes \text{Inn}(D_8)\end{aligned}$$

$$\begin{pmatrix} 1 & * & * & * \\ & 1 & & * \\ & & 1 & * \\ & & & 1 \end{pmatrix}$$

Other amusing applications

THEOREM (3.3). Let $C_1, C_2 \subset \mathbf{P}^2$ be plane conics meeting transversely, $L_1, \dots, L_4$ their four common tangent lines. Then the eight points $\{p_j^i = L_i \cap C_j\}$ of tangency lie on a conic.

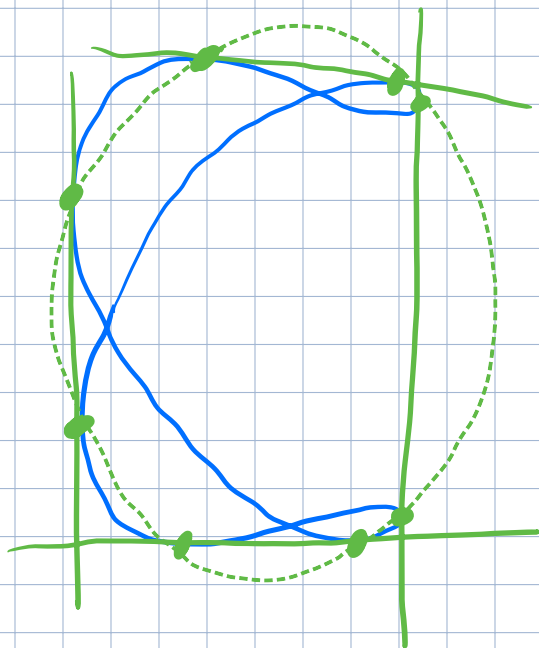


pf Syzygetic quadruples of odd theta characteristics
on a $(2,2)$ -type singular plane quartic.

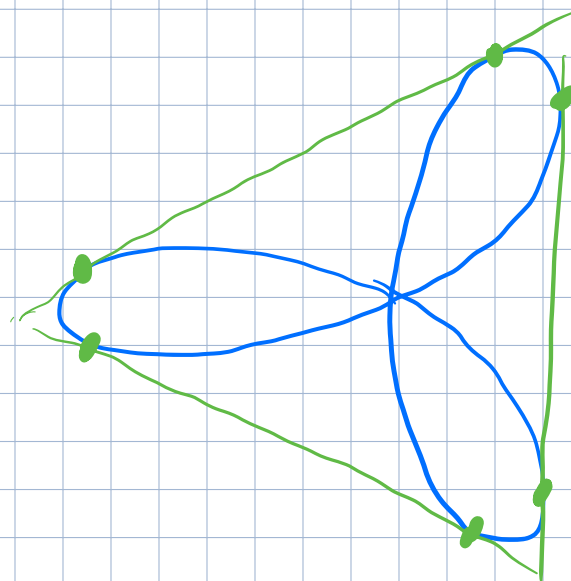
Other amusing applications

THEOREM (3.4) (cf. [10]). *If $C \subset \mathbf{P}^2$ is an irreducible quartic with 3 nodes, then the eight points of contact of C with its four bitangent lines all lie on a conic.*

Ampersand curve



Trifolium curve (3-leafed clover)



1 imaginary bitangent?

THEOREM (3.5) (cf. [10]). *An irreducible quartic with a triple point has four bitangent lines, whose eight points of contact lie on a conic.*

Other amusing applications

THEOREM (3.8). *If C is a plane quartic with an ordinary node and a cusp, C has exactly 4 bitangents; and their 8 points of contact do not lie on a conic.*

singularities	$g = g(C)$	$k = \text{rk } \Gamma_2$	l	$q(C)$	$\#S$	$\#S^-$
smooth	3	0	0	0	64	28
one node	2	1	$\neq 0$	–	32	16
one cusp	2	0	0	1	16	10
two nodes	1	2	$\neq 0$	–	16	8
tacnode	1	1	0	1	8	6
one node; one cusp	1	1	$\neq 0$	–	8	4
two cusps	1	0	0	0	4	1
ramphoid cusp	1	0	0	1	4	3
three nodes	0	3	$\neq 0$	–	8	4
one node; one tacnode	0	2	$\neq 0$	–	4	2
one oscnode	0	1	$\neq 0$	–	2	1
two nodes; one cusp	0	2	$\neq 0$	–	4	2
one tacnode; one cusp	0	1	0	0	2	0
one node; two cusps	0	1	$\neq 0$	–	2	1
one node, one ramphoid cusp	0	1	$\neq 0$	–	2	1
three cusps	0	0	0	1	1	1
one cusp, one ramphoid cusp	0	0	0	0	1	0
one hyper-ramphoid cusp	0	0	0	0	1	0
one ordinary triple point	0	2	0	1	4	4
one triple point, two branches	0	1	0	1	2	2
one unibranch triple point	0	0	0	1	1	1

Moduli-theoretic meaning?

Theta-Characteristics and Their Moduli [Far '12]

def A reduced, connected, nodal curve C is **quasistable** if for any rational component E ,

$$(i) \quad K_E = \# E \cap \overline{C-E} \geq 2.$$

(ii) For all E, E' s.t. $K_E, K_{E'} = 2$ exceptional component $E \cap E' = \emptyset$.

Def (theorem-defn) A **stable spin curve** of genus g is (C, θ, β)

- C : quasistable of arithmetic genus g
- $\theta \in H^0(C, \mathcal{O}_C^{1/2})$, $\theta|_E \cong \mathcal{O}_E(1)$ for all exceptional comp E .
- $\beta: \mathcal{O}_C^{\otimes 2} \rightarrow \omega_C$ sheaf hom s.t. $\beta|_E \neq 0$ for all exp. E .

The **moduli stack of spin curves** $\bar{\mathcal{S}}_g$ parametrizes $\nearrow$

$$\bar{\mathcal{S}}_g^+ \quad \swarrow \quad \searrow \quad \bar{\mathcal{S}}_g^-$$

$$\begin{array}{ccc} \bar{\mathcal{S}}_g & \rightarrow & \bar{\mathcal{S}}_g \\ \downarrow 2^{2g}:1 & \square & \downarrow 2^{2g}:1 \\ \mathcal{M}_g & \rightarrow & \mathcal{M}_g \end{array}$$

Theta-Characteristics and Their Moduli [Far '12]

Theorem 0.1. *The birational type of the moduli spaces $\overline{\mathcal{S}}_g^+$ and $\overline{\mathcal{S}}_g^-$ of even and odd spin curves of genus g can be summarized as follows:*

$\overline{\mathcal{S}}_g^+ :$	$g > 8$	general type	$\overline{\mathcal{S}}_g^- :$	$g \geq 12$	general type
	$g = 8$	Calabi-Yau		$g \leq 8$	unirational
	$g \leq 7$	unirational		$9 \leq g \leq 11$	uniruled

Bibliography

Eisenbud & Harris 2016. 3264 and All That

Harris 1982. Theta-Characteristics on Algebraic Curves

Farkas 2012. Theta-characteristics and their Moduli